





REGULATORY AND SUPERVISORY BUREAU FOR ELECTRICITY AND WATER SECTOR

REGULATIONS FOR DISTRICT COOLING PURSUANT TO EXECUTIVE COUNCIL RESOLUTION (6) OF 2021

RD1: PERMITS AND SCHEDULES

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Version History

ISSUE	MODIFICATION	ISSUED	APPROVED	DATE
NO.				
0.1	Draft for consultation	J. Grinnell	G. Sims	11/04/21
0.2	Post consultation	J. Grinnell	G. Sims	05/05/21
1.0	First Issue	J. Grinnell	G. Sims	23/05/21

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1. Definitions and Interpretation

- a. Capitalized terms not otherwise defined in this document shall have the meaning given to them
 in Executive Council Resolution (6) of 2021 Regulating the Provision of District Cooling Services
 in Dubai.
- b. For the purposes of this document, Control means in respect of a person by another, that a person (whether alone or with its affiliates and whether directly or indirectly and whether by the ownership of share capital, the possession of voting power, contract or otherwise):
 - a. owns or controls (whether directly or otherwise) fifty-one per cent (51%) or more of the equity share capital, voting capital or the like of the controlled person; or
 - b. has the right, through ownership of equity share capital or voting capital, by contract, or otherwise, to control the compositions of, or the appointment of, a majority of the members of the board of directors, board of management or other equivalent or analogous body of the controlled person.

2. Scope and Applicability

- a. These regulations have been issued pursuant to the Resolution.
- These regulations apply to any Person furnishing, or intending to furnish, District Cooling Services or Billing Services to Customers in the Emirate of Dubai.

3. Designating a system as a District Cooling System

- a. The RSB may identify any District Cooling Plant and District Cooling Network as a District Cooling System.
- b. The upstream limits of a District Cooling System are at the boundary of the plot of land on which a District Cooling Plant is located. The downstream limits of a District Cooling System are the points at which the District Cooling Network connects to the Customer's system.
- c. The RSB hereby designates those systems that were the subject of the RSB's 2020 annual data return as District Cooling Systems.

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4. Criteria for defining Persons required to obtain a Permit

- Any Person that furnishes or intends to furnish District Cooling Services or Billing Services to Customers must first obtain a permit from the RSB to do so.
- b. Pursuant to Article 2 of the Resolution, Persons providing District Cooling Services or Billing Services, from those systems designated as District Cooling Systems in Article 3(c) above, are required to apply for a Permit.
- c. The RSB shall presume to grant Permits to those Service Providers mentioned in paragraph (b) of this Article.
- d. Where the RSB designates a system as a District Cooling System in accordance with Article 3 above, it shall notify the Person providing District Cooling Services from that system accordingly. The Person, if not already Permitted, must apply for a Permit within one (1) month of the RSB's designation. Where the Person is already permitted, he must apply to add a Schedule to his Permit within one (1) month, providing details of the system so designated.

5. Requirements for obtaining a Permit and determining its term

- a. The RSB seeks to ensure that proposed Permit Holders:
 - Have sufficient experience and competence in supplying District Cooling Services or Billing Services to Customers or have obtained that experience or competence through contract.
 - 2. Have no history of significant regulatory failings in respect of energy services.
 - Are Controlled by Persons with no history of criminal convictions, insolvency, or bankruptcy proceedings.
- b. Applications for Permits must be made using the form available on request from the RSB. The form must be completed in full and signed by a legal representative of the proposed Permit Holder.
- c. When applying for a Permit, applicants must include an application for at least one (1) Schedule.
- d. The RSB will determine the term of the Permit based on information provided in the application. Applicants must disclose any concession agreements entered between them and third parties in Permit applications.

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6. Requirements for adding or amending a Schedule

- a. The RSB maintains a record of all District Cooling Systems operating in Dubai. Any planned alterations to existing District Cooling Systems or additions of new District Cooling Systems must be approved by way of a Schedule amended or added to the Permit of a Service Provider prior to the alteration or addition to the District Cooling System being made.
- b. Applications to amend or add Schedules to a Permit must be made by the Permit Holder using the form in Appendix 1 of the Permit application form.

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