



مكتب التنظيم والرقابة لقطاع الكهرباء و المياه
RSB FOR ELECTRICITY & WATER

**REGULATORY AND SUPERVISORY BUREAU FOR THE
ELECTRICITY AND WATER SECTOR**

**REGULATIONS PURSUANT TO
EXECUTIVE COUNCIL RESOLUTION (6) OF 2021
EXECUTIVE COUNCIL RESOLUTION (2) OF 2010
AND LAW (6) OF 2011**

**HEALTH AND SAFETY PERFORMANCE REPORTING
REQUIREMENTS FOR REGULATED ENTITIES**

Version History

ISSUE NO.	MODIFICATION	ISSUED	APPROVED	DATE
1.0	First Issue	J. Grinnell	G. Sims	01/05/17
1.1	Refreshed to include permit holders	J. Grinnell	G. Sims	29/11/21

1. Definitions and interpretation

Board – means the Directors of a Regulated Entity.

Council – means the Dubai Supreme Council of Energy.

Emergency First Aider – means a person qualified to deliver basic first aid (but under no obligation to do so) during the period before professional medical personnel arrive.

Fatality – means loss of life.

Health and Safety Management System – means a set of policies, procedures and plans that systematically manages health and safety at work intended to reduce the risk of serious injury and illness from workplace operations.

Incident – means any illness or Injury caused to a person, including recurrence or aggravation or exacerbation of previous conditions, or fatality, which either occurs during working hours, arises from a workplace practice or conditions in a workplace, or happens to any Person by reason of the Regulated Entity carrying out its Regulated Activities.

LTI > 1 day – means any Incident that results in a person being unable to work on the next working day.

Near Miss – means an unplanned undesired event that has the potential to cause injury, damage or loss to a Person but does not do so.

Person – means any natural person.

PPE – means Personal Protective Equipment.

Regulated Activities – are the authorised activities of a Regulated Entity.

Regulated Entity – An entity licensed or permitted by the RSB in accordance with its duties defined in Law 6 of 2011, Executive Council Resolution (2) of 2010 or Executive Council Resolution (6) of 2021.

Regulations – means these Health and Safety Performance Reporting Requirements.

RSB – means the Regulatory and Supervisory Bureau for the Electricity and Water Sector.

Safe Systems of Work – means a safe work method that will eliminate or reduce the risks associated with hazards that have been identified through the systematic examination of a task.

Serious Injury – means a serious long-term impairment or loss of a body function, or a permanent serious disfigurement, or serious long-term mental or severe long-term behavioural disturbance or disorder or loss of a foetus.

2. Introduction

- a. These Regulations are intended to promote best practice in Safe Systems of Work amongst Regulated Entities and to encourage a preventative approach to health and safety management thus reducing the risk of illness or injury at the workplace.
- b. The RSB issues these Regulations, which have been approved by the Council, setting out requirements for Regulated Entities to report on a regular basis their health and safety performance to the RSB and to notify the RSB when incidents have occurred.

3. Scope of these regulations

- a. These Regulations apply to all Regulated Entities and any third parties contracted to carry out work on behalf of Regulated Entities in relation to Regulated Activities. As the Dubai Electricity and Water Authority is not authorised by the RSB, these Regulations do not apply to it.
- b. These Regulations apply to entities' Regulated Activities only. Where a Regulated Entity undertakes activities outside the scope of its licence or permit, it should use its best endeavors to separate the recording of man-hours and incidents as defined in these Regulations from those associated with other activities, and report such data only in relation to its Regulated Activities.
- c. Nothing in these Regulations shall supersede any other Dubai or Federal legal requirements relating to the management of health and safety including but not limited to:
 - i. Federal Law No. 8 of 1980 U.A.E. Labor Law
 - ii. Local Order No.10 of 2003 concerning technical conditions to be fulfilled by electrical equipment in the Emirate of Dubai
 - iii. Local Order No. 11 of 2003 Concerning public health and safety of the society in the Emirate of Dubai.

4. Incident classification

For the purposes of these Regulations an Incident must be classified as LTI>1, Serious Injury or Fatality. Where an Incident of any classification is, in the opinion of those present, narrowly avoided it shall be recorded as a "Near Miss".

5. Recording of incidents and near misses

Regulated Entities shall record all Incidents and Near Misses in their Health and Safety Management System. As a minimum, records shall be retained for one (1) year in the event of a Near Miss and five (5) years for any Incident. Such records shall be made available for inspection by the RSB on request.

6. Incident notification

A Regulated Entity must notify the RSB of any Incident resulting in a Serious Injury or Fatality by email to incident@RSBDubai.gov.ae as soon as is reasonably practical and in any event within 48 hours. In his notification the Regulated Entity shall inform the RSB of:

- a. The time and date, duration (if applicable) and location of the Incident.
- b. The designation of the person or persons affected, whether employee, sub-contractor or member of the public.
- c. The details of the illness or injury sustained.
- d. The circumstances in which the injury was sustained.

7. Incident investigation

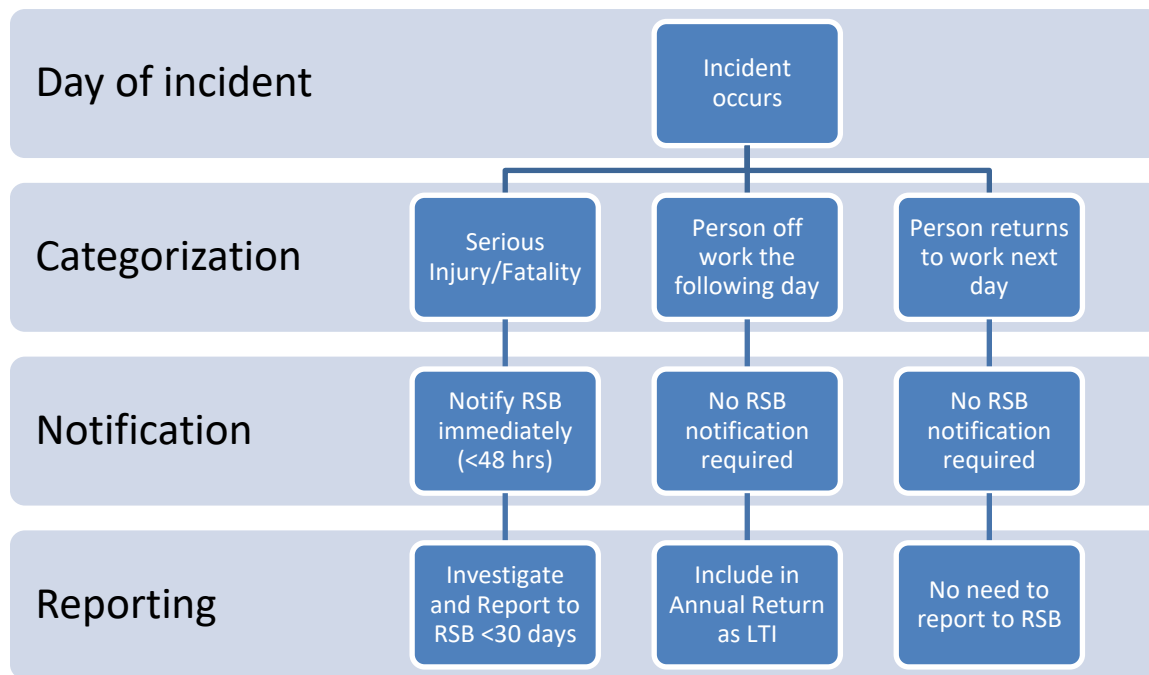
- a. The Regulated Entity shall establish an appropriately qualified team, which may require the involvement of a third-party investigation team, to carry out a comprehensive investigation into the cause(s) and effect(s) of any Incident resulting in Serious Injury or Fatality.
- b. The investigation shall be started immediately with consideration being given to preserving the scene and evidence in the event Dubai police or other authority wishes to carry out an investigation.
- c. The results of the investigation shall be provided to the RSB on completion. If the investigation is still ongoing after 30 days, the Regulated Entity shall provide an interim report to the RSB containing all facts and assessments completed and underway.
- d. The investigation shall opine on lessons learned and identify any enhancements that can be made to the Regulated Entity's existing Health and Safety Management System. In particular, it shall report on:
 - i. the opportunities identified to enhance processes set out in the Health and Safety Management System.
 - ii. use of equipment that may improve the safe operation of the task if applicable.
 - iii. staff training opportunities.
- e. The investigation shall set out any remedial actions to be made to the Health and Safety Management System in a timeframe commensurate with the significance of the action or adjustment to be made.

8. Reporting requirements

- a. Regulated Entities shall prepare and submit to the RSB a report on their health and safety performance over the preceding year. The report shall be submitted no later than 28th February each year and shall, as a minimum, include:
 - i. the attached RSB Health and Safety Performance Reporting form duly completed.
 - ii. a summary of the Safe Systems of Work the Regulated Entity maintains and operates as part of its Health and Safety Management System.
 - iii. commentary on Near Misses and all Incidents noting, for example, any patterns or trends in frequency rates.
 - iv. commentary on initiatives the Regulated Entity has taken to make continual improvement to its Health and Safety Management System.

- b. Where the RSB is concerned about the performance of a Regulated Entity, it may require performance reports to be submitted every 3 months.
- c. The RSB may audit the Regulated Entity and interview its employees to ascertain the extent of compliance with these Regulations.

9. Decision support chart for reporting categorization



10. Guidance on the content of Regulated Entity's Health and Safety Management Systems

- a. The Board is expected to review the health and safety performance of the Regulated Entity on a regular basis.
- b. A statement of the Regulated Entity's policy should be made available to all employees and advertised in areas where staff may congregate, such as canteens or common areas. The policy should set out the management's commitment to the health and safety of the Regulated Entity's employees, contractors and of any Person coming into contact with the Regulated Entity, its employees or its operations in the course of carrying out its Regulated Activities. It should also set out expectations of employee commitment to understand and abide by the Health and Safety Management System.

- c. Standards for the maintenance of personal hygiene, personal protective equipment, and cleanliness as well as standards for the maintenance of any equipment either in line with manufacturer's guidance or otherwise.
- d. Safe Systems of Work.
- e. Procedures for the safe management of contractors.
- f. Emergency response plan.
- g. Records of relevant reports, audits, investigations and management meetings.
- h. Risk assessments which are made available to employees to understand risks identified and associated with certain activities.
- i. Near-Miss and Incidents logs.
- j. Training logs to ensure employees are appropriately competent.
- k. Registers of Emergency First Aiders together with their contact details.
- l. PPE issue and maintenance register for H&S equipment.
- m. Material safety data sheets for dangerous substances used in the Regulated Entity's activities.